

# Application

<b>Federal Award Identification Number (FAIN)</b>	SC-2018-023-00
<b>Temporary Application Number</b>	6852-2018-1
<b>Application Name</b>	2017 5311 Catawba Transit Allocation
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

## Part 1: Recipient Information

**Name: CATAWBA INDIAN NATION**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6852	Indian Tribe	CATAWBA INDIAN NATION	831001185

Location Type	Address	City	State	Zip
Headquarters	996 AVENUE OF THE NATIONS	ROCK HILL	SC	29730
Physical Address	996 AVE OF THE NATIONS	ROCK HILL	SC	29730
Mailing Address	996 AVENUE OF THE NATIONS	ROCK HILL	SC	29730

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: 2017 5311 Catawba Transit Allocation**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SC-2018-023-00	Final Concurrence / Reservation	Grant	6/25/2018	6/25/2018	No

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

3/29/2022

**Application Executive Summary**

The Catawba Transit Service started in June 2011 with FTA funding. We will use our 2017 allocation of \$376,319 for ongoing operations of our Tribal Transit Service.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Our organization has an approved IDC rate of 25.91% from the Interior Business Center.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Charles	Chiu	General Engineer	charles.chiu@dot.gov	404-865-5608
Nicole	Spivey	Community Planner	nicole.spivey3@dot.gov	404-865-5609
Elizabeth	Harris	Community Planner	elizabeth.harris@catawbaindian.net	803-366-4792

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$376,319
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$376,319</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2018-023-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$217,500.00	\$0.00	\$217,500.00	1
SC-2018-023-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$217,500.00	\$0.00	\$217,500.00	1
SC-2018-023-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$158,819.00	\$0.00	\$158,819.00	1
SC-2018-023-01-00	11.79.00 PROJECT ADMINISTRATION	\$158,819.00	\$0.00	\$158,819.00	1

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: 2017 Catawba Indian Nation Transit Allocation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SC-2018-023-01-00	6852-2018-1-P1	6/25/2018	8/31/2018	9/29/2019

#### Project Description

The Catawba Transit Service started in June 2011. The program continues to grow and become part of many community member's daily lives. The 2017 allocation is \$376,319 in 5311 Tribal Transit funds. The money will be used for on-going support of the operations and administration of the Catawba Transit department including salaries, training, fuel, maintenance and more as broken down below-

Salaries & fringe: \$225,000

Fuel costs: \$15,000  
 Training & travel: \$7500  
 Office supplies: \$6750.65  
 Cell phones: \$10,000  
 Maintenance: \$10,000  
 Insurance: \$15,000  
 Office space: \$10,000  
 Utility cost share: \$4000  
 Marketing materials: \$1000  
 Audit costs: \$2500  
 Indirect costs: \$69,568.35

Start date will be the date the application is awarded and end date is 9/30/19.

**Project Benefits**

The project benefits the citizens of the Catawba Nation as well as the surrounding community by providing demand response service to rural York County, SC. The project will secure the ongoing operations of the service to provide rides to those in need of transportation. The service is very busy as no other service provider comes to this part of the county.

**Additional Information**

The service is based on the Catawba Nation lands in eastern York County, SC. This is located south of Charlotte, NC with the closest city being Rock Hill, SC.

**Location Description**

We are located on the Catawba Nation in eastern York County outside of Rock Hill, SC.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
450000	South Carolina

**Congressional District Information**

State	District	Representative
South Carolina	5	Mick Mulvaney

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$376,319
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
<b>Total Eligible Cost</b>	<b>\$376,319</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2018-023-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$217,500.00	\$0.00	\$217,500.00	1
SC-2018-023-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$217,500.00	\$0.00	\$217,500.00	1
SC-2018-023-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$158,819.00	\$0.00	\$158,819.00	1
SC-2018-023-01-00	11.79.00 PROJECT ADMINISTRATION	\$158,819.00	\$0.00	\$158,819.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

### Extended Budget Description

Operating expenses for the Catawba Transit Service. Expected start date of 9/1/18 (as long as grant is finalized) and approximate end date of 9/30/19.

Salaries & fringe: \$175,000

Fuel: \$15,000

Training: \$7500

Cell phones: \$10,000

Maintenance: \$10,000

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$217,500

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$217,500</b>

Milestone Name	Est. Completion Date	Description
Start Date of 2017 grant	9/1/2018	Start spending funding from 2017 allocation
End Date of 2017 grant	9/30/2019	Finish spending money for 2017 allocation

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

**Extended Budget Description**

Administrative expenses for the Catawba Transit Service. Expected start date of 9/1/18 (as long as grant is finalized) and approximate end date of 9/30/19.

- Manager salary & fringe: \$50,000
- Office supplies: \$6750.65
- Insurance: \$15,000
- Office space: \$10,000
- Utility cost share: \$4000
- Marketing: \$1000
- Audit: \$2500
- Indirect costs: 69,568.35

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$158,819
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

<b>Total Eligible Cost</b>	<b>\$158,819</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date for 2017 grant	9/1/2018	Start spending funds from this grant
End Date for 2017 grant	9/30/2019	Finish spending funds from this grant allocation

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date Description</b>	<b>Date</b>
Class IIc CE Approved	6/28/2018

<b>Scope Name / Code</b>	<b>Line Item Number</b>	<b>Line Item Name</b>	<b>Quantity</b>	<b>FTA Amount</b>	<b>Total Eligible Cost</b>
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$158,819.00	\$158,819.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date Description</b>	<b>Date</b>
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$217,500.00	\$217,500.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Nicole Spivey

Comment Type	Application Details
Date	6/26/2018
Comment	<ul style="list-style-type: none"> <li>•Attach FY2018 Certifications and Assurances signature pages. If the Official PIN'd TrAMS on behalf of the Attorney, please upload the executed Selections and Signature pages of the C&amp;As in your Recipient Profile section of TrAMS</li> <li>•ALI 30.09.01 (Operating Assistance): Include the time period (e.g. 10/1/18 – 9/30/19) the activity will cover</li> <li>•Attach the IDC rate approval</li> <li>•Include Environment Finding for each ALI</li> </ul>

**Comment By** Elizabeth Harris

Comment Type	Application Details
Date	6/27/2018
Comment	<p>Hi Nicole!</p> <p>Thanks for your quick turn around.</p> <p>Documents- IDC (was previously added to application documents and has now been added to recipient documents as well, hope that is where you needed them if not please let me know) C&amp;A's (were previously added under C&amp;A certification page, added to both application and recipient documents, again, hope that is what you needed) Added requested info to budget ALI's Added more environmental info</p> <p>Hope that is everything you need, but please let me know any other things you need to finalize the application.</p>



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**Comment By Nicole Spivey**

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Comment Type Application Details

Date 7/2/2018

Comment Operating Assistance: If operating assistance covers more than one local year, break down in 12 month increments. Add additional ALIs for each individual fiscal year FTA. (e.g. Operating Assistance 9/1/18 to 6/30/20.... First Operating Assistance ALI 9/1/18-8/31/19 Second Operating Assistance ALI 9/1/19 -6/30/20

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**Comment By Elizabeth Harris**

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Comment Type Application Details

Date 7/2/2018

Comment This budget amount is in line with one years worth of operating/administrative expenses. Past reviews have advised to add a cushion into the milestones. Since the budget amount is in line with one year of expenses I simply updated the Milestone for each item. If any other changes need to be made please let me know.

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**Comment By Nicole Spivey**

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Comment Type Application Details

Date 7/8/2018

Comment Operating Assistance: The 6/30/20 end date noted in the Extended Budget Description is inconsistent with the milestone end date of 9/30/2019. Identify the timeframe the operating assistance is expected to cover (e.g. 10/1/18 – 9/30/19)

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**Comment By Elizabeth Harris**

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Comment Type Application Details

Date 7/9/2018

Comment Change made

## Application Review Comments

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**Comment By Nicole Spivey**

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Comment Type FTA Post Review Comments for Grantee

Date 6/26/2018

Comment see FTA comments

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**Comment By Nicole Spivey**

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Comment Type FTA Post Review Comments for Grantee

Date 7/2/2018

Comment see FTA comment

**Comment By Nicole Spivey**

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Comment Type FTA Post Review Comments for Grantee

Date 7/8/2018

Comment see FTA comments

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: CATAWBA INDIAN NATION

Recipient ID: 6852

DUNS No: 831001185

### **Application Information**

Federal Award Identification Number: SC-2018-023-00

Application Name: 2017 5311 Catawba Transit Allocation

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2022

Application Executive Summary: The Catawba Transit Service started in June 2011 with FTA funding. We will use our 2017 allocation of \$376,319 for ongoing operations of our Tribal Transit Service.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$376,319.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$376,319.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$376,319.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$376,319
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
<b>Total Eligible Cost</b>		<b>\$376,319</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*CATAWBA INDIAN NATION*